

# SAFETY MANAGEMENT CERTIFICATE

Issued under the provisions of the  
INTERNATIONAL CONVENTION FOR THE SAFETY OF LIFE AT SEA; 1974,  
as amended

under the authority of the Government of the

## HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA

by GERMANISCHER LLOYD

Name of Ship	Distinctive Number or Letters	Port of Registry	Gross Tonnage	IMO Number
CSCL NEPTUNE	HK-3469	Hong Kong	150853	9467316

Name and address of the Company (see paragraph 1.1.2 of the ISM Code)	Company identification number	Type of Ship *
China International Ship Management Company Limited Room 6E, 500 South Pudong Road, Shanghai 200120 / CHINA	4108050	Other cargo ship

THIS IS TO CERTIFY THAT the Safety Management System of the ship has been audited and that it complies with the requirements of the International Management Code for the Safe Operation of Ships and for Pollution Prevention (ISM Code), following verification that the Document of Compliance for the Company is applicable to this type of ship.

This Safety Management Certificate is valid until **6<sup>th</sup> September, 2017**, subject to periodical verification and the Document of Compliance remaining valid.

Completion date of the verification on which this certificate is based: **7<sup>th</sup> September, 2012**

Issued at **Hamburg** the **9<sup>th</sup>** day of **October, 2012**



**Germanischer Lloyd**

*(Handwritten signature)*  
Wilhelm Loskot

*(Handwritten signature)*  
Fred Geyer

\* Insert the type of ship from: Passenger ship, passenger high-speed craft, cargo high-speed craft, bulk carrier, oil tanker, chemical tanker, gas carrier, mobile offshore drilling unit, other cargo ship.

## Endorsement for periodical verification and additional verification (if required)

Range for the intermediate verification: 7<sup>th</sup> September, 2014 to 6<sup>th</sup> September, 2015

The intermediate verification is to be completed between the second and the third anniversary date.

THIS IS TO CERTIFY THAT, at the periodical verification in accordance with regulation IX/6.1 of the Convention and paragraph 13.8 of the ISM Code, the Safety Management System was found to comply with the requirements of the ISM Code.

Intermediate verification

Signed:

\_\_\_\_\_  
GL Representative

Place:

Date:

Additional verification

Signed:

\_\_\_\_\_  
GL Representative

Place:

Date:

Additional verification

Signed:

\_\_\_\_\_  
GL Representative

Place:

Date:

Additional verification

Signed:

\_\_\_\_\_  
GL Representative

Place:

Date:

**Endorsement where the renewal verification has been completed and  
part B 13.13 of the ISM Code applies**

The ship complies with the relevant provisions of part B of the ISM Code, and the Certificate should, in accordance with part B 13.13 of the ISM Code, be accepted as valid until

Signed: \_\_\_\_\_  
GL Representative

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**Endorsement to extend the validity of the certificate until  
reaching the port of verification where part B 13.12 of the ISM Code applies  
or for a period of grace where part B 13.14 of the ISM Code applies**

This Certificate should, in accordance with part B 13.12 or part B 13.14 of the ISM Code, be accepted as valid until

Signed: \_\_\_\_\_  
GL Representative

Place: \_\_\_\_\_

Date: \_\_\_\_\_

# INTERNATIONAL SHIP SECURITY CERTIFICATE

Issued under the provisions of the  
INTERNATIONAL CODE FOR THE SECURITY OF SHIPS AND OF PORT FACILITIES  
(ISPS CODE)

under the authority of the Government of the

## HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE'S REPUBLIC OF CHINA

by GERMANISCHER LLOYD

Name of Ship	Distinctive Number or Letters	Port of Registry	Gross Tonnage	IMO Number
CSCL NEPTUNE	HK-3469	Hong Kong	150853	9467316

Name and address of the Company	Company identification number	Type of Ship *
China International Ship Management Company Limited Room 6E, 500 South Pudong Road, Shanghai 200120 / CHINA	4108050	Other cargo ship

THIS IS TO CERTIFY:

- 1 that the security system and any associated security equipment of the ship has been verified in accordance with section 19.1 of part A of the ISPS Code;
- 2 that the verification showed that the security system and any associated security equipment of the ship is in all respects satisfactory and that the ship complies with the applicable requirements of chapter XI-2 of the convention and part A of the ISPS Code;
- 3 that the ship is provided with an approved Ship Security Plan.

Date of initial / renewal verification on which this certificate is based 2012-09-07

This Certificate is valid until 6<sup>th</sup> September, 2017, subject to verifications in accordance with section 19.1.1 of part A of the ISPS Code.

Issued at Hamburg the 9<sup>th</sup> day of October, 2012



Germanischer Lloyd

*W. Loskot*  
Wilhelm Loskot

*C. Bucher*  
Christian Bucher

\* Insert the type of ship from: Passenger ship, passenger high-speed craft, cargo high-speed craft, bulk carrier, oil tanker, chemical tanker, gas carrier, mobile offshore drilling unit, other cargo ship.

## Endorsement for intermediate verification

THIS IS TO CERTIFY THAT at an intermediate verification required by section 19.1.1. of part A of the ISPS Code the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Intermediate verification

Signed:

\_\_\_\_\_  
Auditor to Germanischer Lloyd

Place:

Date:

## Endorsement for additional verifications \*

Additional verification

Signed:

\_\_\_\_\_  
Auditor to Germanischer Lloyd

Place:

Date:

Additional verification

Signed:

\_\_\_\_\_  
Auditor to Germanischer Lloyd

Place:

Date:

Additional verification

Signed:

\_\_\_\_\_  
Auditor to Germanischer Lloyd

Place:

Date:

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\* This part of the certificate shall be adapted by the Administration to indicate whether it has established additional verifications as provided for in section 19.1.1.4.

## Additional verification in accordance with section A/19.3.7.2 of the ISPS Code

THIS IS TO CERTIFY THAT at an additional verification required by section 19.3.7.2 of part A of the ISPS Code the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Signed: \_\_\_\_\_  
Auditor to Germanischer Lloyd

Place: \_\_\_\_\_

Date: \_\_\_\_\_

## Endorsement to extend the certificate if valid for less than 5 years where section A/19.3.3 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code, and the Certificate shall, in accordance with section 19.3.3 of part A of the ISPS Code, be accepted as valid until .

Signed: \_\_\_\_\_  
Auditor to Germanischer Lloyd

Place: \_\_\_\_\_

Date: \_\_\_\_\_

## Endorsement where the renewal verification has been completed and section A/19.3.4 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code, and the Certificate shall, in accordance with section 19.3.4 of part A of the ISPS Code, be accepted and is valid until

Signed: \_\_\_\_\_  
Auditor to Germanischer Lloyd

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**Endorsement to extend the validity of the certificate until reaching the port of verification where section A/19.3.5 of the ISPS Code applies or for a period of grace where section A/19.3.6 of the ISPS Code applies**

This certificate shall, in accordance with section 19.3.5 / 19.3.6 \*of part A of the ISPS Code, be accepted as valid until

Signed: \_\_\_\_\_  
Auditor to Germanischer Lloyd

Place: \_\_\_\_\_

Date: \_\_\_\_\_

**Endorsement for advancement of expiry date where section A/19.3.7.1 of the ISPS Code applies**

In accordance with section 19.3.7.1 of part A of the ISPS Code, the new expiry date \*\* is

Signed: \_\_\_\_\_  
Auditor to Germanischer Lloyd

Place: \_\_\_\_\_

Date: \_\_\_\_\_

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\* Delete as appropriate.

\*\* In case of completion of this part of the certificate the expiry date shown on the front of the certificate shall also be amended accordingly.